



09-18-02

DT06 Rec'd PCT/PTO

16 SEP 2002

Patent Docket 205,511

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: TAKEUCHI et al.

SERIAL NO.: 10/049,970

FILED: February 11, 2002

TITLE: ANTIBIOTIC CAPRAZAMYCINS AND PROCESS FOR
PRODUCING THE SAME

ATTN: Customer Service Center

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SEP 30 2002

TECH CENTER 1600/2900

Date: September 16, 2002

Assistant Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

STATEMENT OF FILING BY EXPRESS MAIL 37 C.F.R. § 1.10

This correspondence is being deposited with the United States Postal Service on September 16, 2002 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number ET 537 520 008 US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Sir:

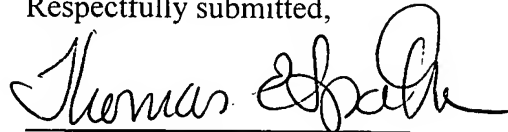
It is noted that the filing receipt contains an error in regards to the **International filing date**, which should appear as follows:

August 11, 2000

Toward this end we enclose a copy of the corrected filing receipt and a copy of the Declaration filed with the application to the United States Patent & Trademark Office, which indicates the aforementioned needed correction(s).

Please effect a correction of the Filing Receipt and forward it to us at your earliest convenience.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Thomas E. Spath", written over a horizontal line.

Thomas E. Spath
Reg. No. 25,928

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/049,970	05/09/2002	1614	510	205,511	20	11	1

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CONFIRMATION NO. 8975

FILING RECEIPT



OC00000008233818

Date Mailed: 06/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/05415 06/28/2000

Foreign Applications

INTERNATIONAL FILING DATE 8/11/2000

JAPAN 11-228866 08/12/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Antibiotic caprazamycins and process for producing the same

Preliminary Class

514

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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/049,970	Tomio Takeuchi	205,511

INTERNATIONAL APPLICATION NO.

PCT/JP00/05415

LA. FILING DATE	PRIORITY DATE
06/28/2000	08/12/1999

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CONFIRMATION NO. 8975

371 ACCEPTANCE LETTER



OC000000008233819

Date Mailed: 06/06/2002

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

05/09/2002

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and
 (c)(4) REQUIREMENTS

05/09/2002

DATE OF RECEIPT OF ALL 35 U.S.C.
 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).* Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Indication of Small Entity Status
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

SHAKEEL AHMED

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FORM PCT/DO/EO/903 (371 Acceptance Notice)